

INDICATIVE AUDIT COMMITTEE WORKPLAN 2013/14 & 2014/15

NOTE :- Proposed **substantive** items to be presented and discussed have been put in **bold**.
Proposed *information* items that will not be presented are in *italics*
Standing 'business' items are in normal font

* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
2013/14					
7	Wednesday 26 th March 2014 *	<ul style="list-style-type: none"> • Internal Audit Charter and Strategy • Internal Audit Plan 2014/15 • External Audit – Claims and Returns Annual Report 2012/13 • External Audit – 2013/14 Audit - Audit Plan • External Audit Fee Letter 2013/14 • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> • Strategic Risk Register Review 	<ul style="list-style-type: none"> • Treasury Management Policy & Strategy Statements 2014/15 • <i>2014/15 Budget Proposals. Section 25 Report</i> • <i>Corporate Finance and Performance Management & Capital Programme Update</i> 	<ul style="list-style-type: none"> • Audit Committee workplan 2013/14
8	Wednesday 30th April 2014	<ul style="list-style-type: none"> • Internal Audit Quarterly Report 2013/14 (Qu4) • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> • Risk Management Policy & Strategy 		<ul style="list-style-type: none"> • Corporate Whistleblowing Policy Update and Annual Report • Audit Committee workplan 2013/14
2014/15					
1	Wednesday 11th June 2014	<ul style="list-style-type: none"> • Internal Audit Annual Report 2013/14 • Annual Review of the Effectiveness of Internal Audit 2013/14 • External Audit – Progress Report & Technical Update • External Audit Fee Letter 2014/15 • Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> • Risk Management Annual Report 2013/14 		<ul style="list-style-type: none"> • Corporate Whistleblowing Policy Review and Update • Annual Fraud Report 2013/14 • Draft Audit Committee Annual Report 2013/14 • Audit Committee Review of Draft Terms of Reference and Self-Assessment • <i>Audit Committee – Training Review and Skills Self-Assessment</i> • Audit Committee workplan 2014/15
2	Wednesday 23rd July 2014	<ul style="list-style-type: none"> • Internal Audit Quarterly Report 2014/15 (Qu.1) • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor 		<ul style="list-style-type: none"> • Draft Statement of Accounts 2013/14 • <i>Corporate Finance</i> 	<ul style="list-style-type: none"> • Draft Annual Governance Statement 2013/14 • Audit Committee Annual Report

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
		Auditor (as required)		Summary 2013/14	2013/14 <ul style="list-style-type: none"> Audit Committee Terms of Reference and Self-Assessment Audit Committee workplan 2014/15
3	Friday 19th September 2014	<ul style="list-style-type: none"> External Audit – Report to those charged with governance (ISA 260) 2013/14 External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 		<ul style="list-style-type: none"> Treasury Management Annual Report 2013/14 Corporate Finance and Performance Management & Capital Programme Update @ June 	<ul style="list-style-type: none"> Annual Governance Statement 2013/14 and Review of Action Plan Audit Committee workplan 2014/15
4	Wednesday 5th November 2014	<ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu.2) External Audit - Annual Audit Letter 2013/14 External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Strategic Risk Register Review 	<ul style="list-style-type: none"> Corporate Finance and Performance Management & Capital Programme Update @ Sept 	<ul style="list-style-type: none"> RIPA Update Report Audit Committee workplan 2014/15
5	Wednesday 10th December 2014	<ul style="list-style-type: none"> Review of the Effectiveness of Internal Audit – Action Plan Update Internal Audit Recommendations Implementation Update External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Risk Management Update Report 		<ul style="list-style-type: none"> Annual Governance Statement 2013/14 Action Plan Update Review of Ombudsman Complaints 2013/14 Fraud Management Update Report Audit Committee workplan 2014/15
6	Wednesday 21st January 2015	<ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu.3) External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 			<ul style="list-style-type: none"> Annual Governance Review 2014/15 Local Code of Corporate Governance Review of the Corporate Whistleblowing Policy Audit Committee workplan 2014/15
7	Wednesday 25th March 2015	<ul style="list-style-type: none"> Internal Audit Charter and Strategy Internal Audit Plan 2015/16 External Audit – Claims and Returns Annual Report 2013/14 	<ul style="list-style-type: none"> Strategic Risk Register Review 	<ul style="list-style-type: none"> Treasury Management Policy & Strategy Statements 2015/16 	<ul style="list-style-type: none"> Information Governance Update Report Audit Committee workplan 2014/15

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
8	Wednesday 22nd April 2015	<ul style="list-style-type: none"> External Audit – 2014/15 Audit - Audit Plan External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Risk Management Policy & Strategy 	<ul style="list-style-type: none"> 2015/16 Budget Proposal Section 25 Report Corporate Finance and Performance Management & Capital Programme Update @ Dec 	<ul style="list-style-type: none"> Corporate Whistleblowing Policy Update and Annual Report Audit Committee Review of Terms of Reference and Self-Assessment Audit Committee – Training Review and Skills Self-Assessment Audit Committee workplan 2014/15

To Note

- An awareness/briefing session has been arranged for members prior to the meetings on 26th March 2014
- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.

10th June 2015
22nd July 2015
18th September 2015 - Friday @ 2.00pm
4th November 2015
9th December 2015

